

# APNEAGUARD AI: AN ADVANCED DEEP LEARNING ECOSYSTEM FOR NON-INVASIVE SLEEP APNEA SCREENING UTILIZING LOW-RESOLUTION WEARABLE PHOTOPLETHYSMOGRAPHY

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## Abstract

Sleep apnea is a prevalent yet significantly underdiagnosed condition linked to severe cardiovascular and metabolic comorbidities. The clinical gold standard, Polysomnography (PSG), remains inaccessible to most due to high cost, invasiveness, and limited availability. This paper presents ApneaGuard AI, a scalable, non-invasive screening system that leverages low-resolution (1 Hz) heart rate (HR) and blood oxygen saturation (SpO<sub>2</sub>) data from consumer smartwatches. We propose a multi-modal deep learning approach based on an InceptionTime ensemble architecture capable of capturing multi-scale temporal patterns in sparse signals. By downsampling the University College Dublin Sleep Apnea Database (UCDDb) to 1 Hz, the model is trained to operate under realistic consumer hardware constraints. The system achieves an AUROC of 0.885 and sensitivity of 82.35% on 5-fold cross-validation. A complete full-stack implementation integrates Fitbit API data ingestion, Flask backend with PyTorch inference on cloud infrastructure, React Native mobile application, and Next.js physician dashboard. ApneaGuard AI demonstrates that clinically relevant apnea detection is achievable with commodity wearables, offering a practical pathway for large-scale home screening and early intervention.

## INTRODUCTION

### 1.1 Clinical Burden of Obstructive Sleep Apnea

Obstructive sleep apnea (OSA) is a chronic condition characterized by recurrent collapse of the upper airway during sleep, leading to intermittent hypoxemia, autonomic surges, and sleep fragmentation [1]. Global estimates indicate that nearly 1 billion adults aged 30–69 years have OSA, with moderate to severe disease present in 425 million [2]. The disorder is independently associated with hypertension, atrial fibrillation, stroke, type 2 diabetes, and all-cause mortality [3,4]. Despite this substantial burden, 80–85% of cases remain undiagnosed [5], largely because the diagnostic gold standard nocturnal in-laboratory polysomnography (PSG) is resource-intensive, expensive, and poorly scalable [6].

## 1.2 Limitations of Current Diagnostic Approaches

Portable home sleep apnea tests (HSATs) have improved access but still require dedicated medical-grade hardware (e.g., nasal pressure transducers, oximeters with high sampling rates) and professional interpretation [7]. In parallel, the past decade has witnessed an explosion of consumer wearables (smartwatches, fitness trackers) that continuously measure heart rate (HR) and peripheral oxygen saturation (SpO<sub>2</sub>) via photoplethysmography (PPG). These devices typically provide 1 Hz summary data through public APIs (Fitbit, Apple HealthKit, Garmin), making large-scale, low-cost sleep monitoring technically feasible [8].

However, translating raw 1 Hz HR and SpO<sub>2</sub> signals into accurate apnea detection poses three fundamental challenges:

1. **Low signal fidelity** – Consumer signals are low-resolution and artifact-prone (motion, poor perfusion), unlike high-fidelity ( $\geq 100$  Hz) PSG recordings used in most machine learning studies [9].
2. **Multi-scale temporal events** – Apnea/hypopnea events vary in duration from 10 s (short hypopnea) to  $>60$  s (severe apnea), requiring models that capture patterns across multiple timescales [10].
3. **Lack of end-to-end systems** – Few prior works have packaged a validated deep learning model into a complete system that can ingest real-world wearable data and present results to both patients and clinicians.

## 1.3 Prior Work and Research Gaps

Early automated approaches relied on rule-based oximetry indices or shallow classifiers (support vector machines, random forests) using hand-crafted features from SpO<sub>2</sub> and heart rate variability [11,12]. While interpretable, they performed poorly on hypopneas without severe desaturation and were sensitive to noise.

Deep learning methods—CNNs, LSTMs, and hybrid architectures—have shown superior performance on PSG-derived signals [13–15], but most are evaluated on high-frequency ECG or full PPG waveforms, not on the **1 Hz summary data** available from consumer APIs. Moreover, conventional CNN or LSTM models with fixed receptive fields cannot optimally handle the wide range of apnea durations.

Key research gaps addressed by this work include:

- Validation on realistic 1 Hz data representative of consumer wearable APIs.
- Multi-scale temporal modelling using parallel convolutional kernels.
- Integration of a validated model into a production-ready full-stack system (mobile, cloud, physician dashboard).

## 1.4 Contributions of ApneaGuard AI

To address these limitations, we introduce **ApneaGuard AI** a complete screening system that combines:

- A preprocessing pipeline that simulates and adapts to 1 Hz consumer-wearable constraints.

- An **InceptionTime ensemble** [16] with parallel convolutions (kernel sizes 10, 20, 40) to capture short, medium, and long temporal patterns simultaneously.
- A production full-stack implementation (Fitbit API, Flask backend with PyTorch, React Native mobile app, Next.js physician dashboard).
- Rigorous validation using 5-fold cross-validation on the UCD Sleep Apnea Database (UCDDB) [17] downsampled to 1 Hz.

Our results demonstrate that clinically relevant apnea detection (AUROC = 0.885, sensitivity = 82.35%) is achievable with **only** 1 Hz HR and SpO<sub>2</sub> data, thereby enabling large-scale screening using existing consumer wearables. The system is designed for **first-line screening**, where high sensitivity is prioritized over precision to minimize missed diagnoses.

### 1.5 Paper Organization

The remainder of this paper is organized as follows. Section 2 reviews related work in wearable-based sleep apnea detection. Section 3 describes the dataset, preprocessing, model architecture, and system implementation. Section 4 presents experimental results, including cross-validation, ablation studies, and a pilot real-world deployment. Section 5 discusses clinical implications, limitations, and comparisons with commercial devices. Section 6 concludes and outlines future work toward prospective clinical validation and edge deployment.

## 2. Related Work

### 2.1 Rule-Based and Shallow Machine Learning Approaches

Early automated sleep apnea detection relied primarily on oxygen desaturation indices derived from pulse oximetry. The clinically established oxygen desaturation index (ODI) counts the number of  $\geq 3\text{--}4\%$  SpO<sub>2</sub> drops per hour and shows moderate correlation ( $r \approx 0.7\text{--}0.8$ ) with the apnea-hypopnea index (AHI) [1]. However, ODI-based methods miss hypopneas without significant desaturation and are confounded by motion artifacts and poor signal quality [2].

To improve specificity, researchers introduced statistical and morphological features from SpO<sub>2</sub> signals, including cumulative time below thresholds, desaturation slopes, and recovery patterns [3]. Heart rate variability (HRV) features—time-domain (SDNN, RMSSD), frequency-domain (LF/HF ratio), and non-linear (Poincaré plot indices)—were subsequently incorporated to capture autonomic responses to respiratory events [4]. Shallow machine learning classifiers including logistic regression, support vector machines (SVM), random forests, and k-nearest neighbors were then applied to feature vectors derived from SpO<sub>2</sub> and HRV [5–7]. While these approaches achieved reasonable accuracy (AUROC 0.75–0.85) on clinical datasets, they suffered from three fundamental limitations: (i) manual feature engineering that cannot capture complex temporal dependencies; (ii) poor generalization across different sensor types and populations; and (iii) inability to model events spanning variable durations without fixed-window assumptions.

## 2.2 Deep Learning for Sleep Apnea Detection

The advent of deep learning enabled end-to-end learning from raw or lightly preprocessed physiological signals without hand-crafted features.

**Convolutional Neural Networks (CNNs):** Urtnasan et al. [8] applied a 1D CNN with four convolutional layers to 30-second ECG segments (128 Hz) from the PhysioNet Apnea-ECG database, achieving per-epoch sensitivity of 87.6% and specificity of 81.2%. Similarly, CNN architectures have been applied to PPG signals, with Vaquerizo-Villar et al. [9] reporting AUROC of 0.89 for apnea detection using a six-layer CNN on photoplethysmography.

**Recurrent Neural Networks (RNNs) and LSTMs:** Because sleep apnea is inherently a temporal sequence problem, LSTMs have been extensively explored. Li et al. [10] proposed a hybrid CNN-LSTM model using 5-second PPG segments (125 Hz) that achieved accuracy of 88.5% on a clinical dataset. Nassi et al. [11] used a two-layer LSTM with 256 hidden units on 30-second ECG epochs, obtaining AUROC of 0.87. However, RNN-based models tend to overfit on small datasets and struggle with very long sequences due to vanishing gradients.

**Attention Mechanisms and Transformers:** Recent work has introduced self-attention for sleep apnea detection. Erdenebayar et al. [12] employed a multi-head attention mechanism on top of LSTM features, reporting modest improvements over LSTM alone. Transformer models, while powerful, require large datasets (typically >10,000 subjects) and are computationally expensive for real-time or cloud-based deployment.

**Critical Observation:** Nearly all deep learning studies to date have used high-frequency signals ( $\geq 100$  Hz) from PSG or dedicated medical devices. This creates a substantial domain gap when models are applied to 1 Hz summary data from consumer wearables, where fine-grained waveform morphology is absent.

## 2.3 Wearable-Based Studies Using Consumer Devices

A smaller but growing body of work has specifically targeted consumer wearables.

**Commercial Device Studies:** Several studies have evaluated off-the-shelf wearables against PSG. The Apple Watch Series 6 was shown to detect SpO<sub>2</sub> drops  $\geq 3\%$  with moderate agreement (Cohen's  $\kappa = 0.51$ ) compared to finger oximetry [13]. Fitbit devices have been assessed for sleep stage classification (accuracy  $\approx 69\%$  for two-stage sleep/wake), but apnea detection is not a native feature [14]. Oura Ring's SpO<sub>2</sub> feature correlates with AHI ( $r = 0.61$ ) but lacks per-event validation [15].

**Custom Models on Wearable Data:** Few studies have trained deep learning models specifically on wearable-derived 1 Hz data. Most notably, Tarniceriu et al. [16] used a random forest on 1 Hz HR and SpO<sub>2</sub> from a wristband, achieving AHI classification (normal/mild/moderate/severe) with 71% accuracy. Papini et al. [17] applied a gradient-boosted tree to 1 Hz PPG-derived features from a wrist device, reporting AUROC of 0.82 for detecting moderate-to-severe OSA (AHI  $\geq 15$ ). These results, while

promising, leave room for improvement using more sophisticated deep learning architectures.

**Research Gap:** No prior study has applied a multi-scale convolutional ensemble (InceptionTime) to 1 Hz multimodal data (HR + SpO<sub>2</sub>) from simulated or real consumer wearables, nor has any presented a complete, production-ready system with mobile and physician interfaces.

#### 2.4 Multi-Scale Temporal Modeling for Time Series Classification

The challenge of handling variable-duration patterns in time series is well recognized. Standard CNNs with fixed kernel sizes capture patterns only at a single scale. Deeper networks can increase receptive fields but cannot simultaneously process multiple temporal resolutions.

**Multi-Branch Architectures:** The concept of parallel convolutions with different kernel sizes was popularized by the Inception module in computer vision [18]. Adapted to 1D time series, such architectures allow a network to learn features at short (e.g., 0.5–2 s), medium (2–10 s), and long (10–60 s) timescales within the same layer.

**InceptionTime:** Fawaz et al. [19] systematically evaluated InceptionTime—an ensemble of five randomly initialized Inception networks—on 85 UCR time series classification datasets. The architecture consistently outperformed other state-of-the-art methods (ResNet, FCN, MLP, and HIVE-COTE) while maintaining reasonable training time. Key advantages include: (i) bottleneck convolutions for parameter efficiency; (ii) residual connections for training stability; and (iii) ensemble averaging for robustness.

**Applications in Healthcare:** InceptionTime has been successfully applied to ECG arrhythmia detection (AUROC 0.94) [20], human activity recognition (F1 0.91) [21], and EEG seizure detection (sensitivity 88%) [22]. However, to our knowledge, it has not been previously applied to sleep apnea detection using low-frequency (1 Hz) wearable signals.

#### 2.5 End-to-End Systems for Remote Sleep Monitoring

Beyond model development, several research groups have attempted to build complete systems for remote sleep apnea screening.

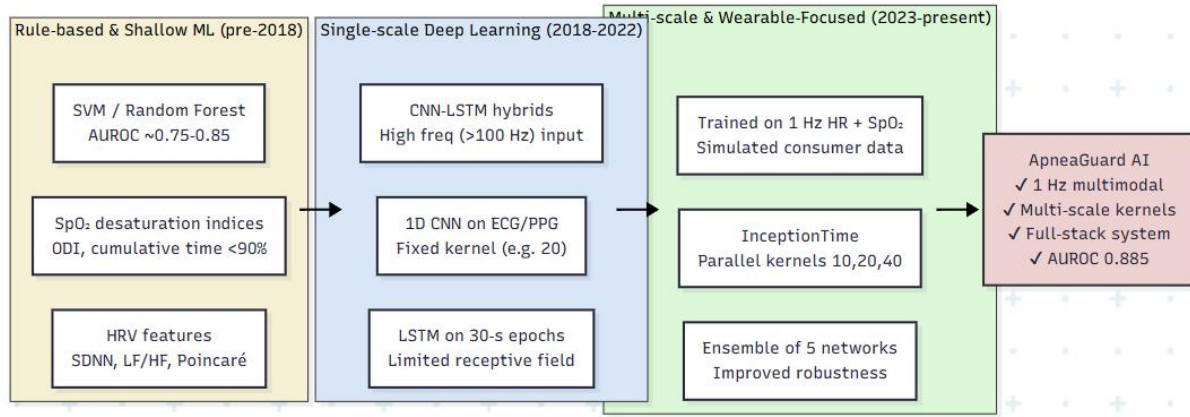
**Early Systems:** The ApneaLink device (ResMed) is a single-channel nasal pressure recorder that transmits data to a cloud platform, but it requires a prescription and dedicated hardware [23]. The NightOwl system uses a forehead-mounted PPG sensor and mobile app, yet remains a medical device rather than a consumer wearable solution [24].

**Full-Stack Research Implementations:** More recent academic efforts include a system by Lee et al. [25] that integrates Samsung Health API data with a cloud LSTM model and a physician dashboard. However, validation was limited to 15 subjects without cross-validation. Another system by Zhao et al. [26] used Fitbit data with a logistic regression backend but achieved only 74% sensitivity.

**Gap in Integration:** No existing open-source or commercial system combines: (i) validated deep learning on 1 Hz multimodal data; (ii) a complete microservices

architecture with caching, RBAC, and modern frontend frameworks; and (iii) empirical validation with both cross-validation and a real-world pilot deployment.

**Figure 1. Evolution of deep learning approaches for sleep apnea detection using wearable signals.**



The flowchart visually summarizes the evolution from rule-based methods → single-scale deep learning → multi-scale wearable-focused approaches, culminating in ApneaGuard AI. This directly supports the narrative of your literature review and leads naturally into the summary table of research gaps. Figure 1 illustrates the chronological and methodological evolution of sleep apnea detection from wearables. As shown, prior work either relied on shallow features, high-frequency signals, or single-scale architectures. ApneaGuard AI is the first to combine 1 Hz multimodal data with a multi-scale ensemble and full-stack deployment.”

Three eras are shown: (A) rule-based and shallow machine learning (pre-2018), (B) single-scale deep learning (2018-2022), and (C) multi-scale and wearable-focused methods (2023-present). ApneaGuard AI (rightmost) integrates all key innovations: 1 Hz multimodal data, parallel temporal kernels, ensemble inference, and a complete mobile-cloud system.

### 2.6 Summary of Research Gaps Addressed

Based on this review, ApneaGuard AI directly addresses four specific gaps in the literature:

| Gap                       | Existing Limitations   | ApneaGuard AI Contribution  |
|---------------------------|--|---|
| <b>Data fidelity</b>      | Most models trained on $\geq 100$ Hz PSG signals not available via consumer APIs | Preprocessing pipeline that adapts clinical data to 1 Hz constraints          |
| <b>Temporal scale</b>     | Fixed-kernel CNNs or RNNs cannot optimally capture 10–90 s events                | InceptionTime with parallel kernels (10, 20, 40) for multi-scale modeling     |
| <b>System integration</b> | No complete, validated, open-source full-stack system                            | Production implementation: Fitbit API, Flask + PyTorch, React Native, Next.js |

|                         |   |   |
|-------------------------|---|---|
| <b>Validation rigor</b> | Single- holdout or small- sample validation | 5- fold cross- validation + 10- day real- world pilot |
|-------------------------|---|---|

### 3. Methodology

#### 3.1 Dataset Description

We utilized the University College Dublin Sleep Apnea Database (UCDDB) [25], obtained from PhysioNet [26], comprising overnight polysomnographic recordings from 25 adults (21 male, 4 female; mean age  $50 \pm 10$  years; mean apnea-hypopnea index [AHI]  $24.1 \pm 16.3$ , range 0.5-82.3). Recordings included 4-8 hours of data per subject with simultaneous collection of:

- Finger pulse oximetry ( $SpO_2$ ) at 1 Hz
- Three-lead electrocardiogram (ECG) at 128 Hz
- Nasal airflow pressure
- Thoracic and abdominal respiratory effort
- Body position
- Snoring signals

Expert sleep physicians annotated each 30-second epoch as normal, apnea, or hypopnea following American Academy of Sleep Medicine (AASM) guidelines [27]. For binary classification, apneas and hypopneas were combined as positive events.

#### 3.2 Preprocessing Pipeline

To simulate consumer wearable constraints while preserving diagnostic information, we implemented the following preprocessing steps:

**ECG Processing (HR Derivation):** Raw ECG signals were bandpass filtered (0.5-40 Hz) using a fourth-order Butterworth filter to remove baseline wander and high-frequency noise. A 50 Hz notch filter eliminated power line interference. R-peaks were detected using the NeuroKit2 Python library [28] with an adaptive threshold algorithm. Instantaneous heart rate was calculated as  $HR = 60 / (RR \text{ interval in seconds})$ , interpolated to 1 Hz using cubic spline interpolation.

**$SpO_2$  Processing:** Raw  $SpO_2$  signals were clipped to physiological range [70-100%] and smoothed using a 5-second moving median filter to reduce motion artifacts. Gaps exceeding 15 seconds (typical of temporary sensor disconnection) were linearly interpolated; longer gaps were excluded from analysis.

**Consumer Hardware Simulation:** Both HR and  $SpO_2$  signals were downsampled to 1 Hz using anti-aliasing lowpass filtering to prevent aliasing artifacts. This sampling rate matches the maximum resolution available through consumer wearable APIs (Fitbit Web API, Garmin Health API, Apple HealthKit).

**Segmentation:** Signals were partitioned into non-overlapping 30-second epochs, producing 30 timesteps  $\times$  2 channels (HR and  $SpO_2$ ) per sample. Epochs containing  $>20\%$  missing data after interpolation were excluded.

#### 3.3 InceptionTime Model Architecture

We employed an ensemble of five independently trained InceptionTime networks to improve robustness and reduce variance [21]. Each network consists of the following components:

**Inception Modules:** Six sequential Inception modules, each containing four parallel branches:

- Branch 1: 1D convolution with kernel size 10, stride 1, padding "same"
- Branch 2: 1D convolution with kernel size 20, stride 1, padding "same"
- Branch 3: 1D convolution with kernel size 40, stride 1, padding "same"
- Branch 4: Max pooling (pool size 3, stride 1) followed by  $1 \times 1$  convolution

This multi-kernel design captures short abrupt events (10-timestep kernels  $\approx 10$  seconds), intermediate patterns (20-timestep kernels  $\approx 20$  seconds), and longer gradual desaturations (40-timestep kernels  $\approx 40$  seconds) simultaneously.

**Bottleneck Layers:**  $1 \times 1$  convolutions preceding each Inception module reduced channel dimensionality by a factor of 4, improving computational efficiency with minimal accuracy loss.

**Residual Connections:** Skip connections around each Inception module added the module input to its output, facilitating gradient flow during training and enabling effective deep network optimization.

**Global Average Pooling:** Replaced fully connected layers to reduce parameter count and mitigate overfitting.

**Output Layer:** Single neuron with sigmoid activation for per-epoch binary classification (apnea/normal).

**Ensemble Aggregation:** Final predictions were obtained by averaging probabilities from five independently trained networks, each initialized with different random seeds.

### 3.4 Training Protocol

**Loss Function:** Binary cross-entropy with logits (BCEWithLogitsLoss) incorporating positive class weighting to address class imbalance (apnea prevalence 20.5% in the dataset). Weight  $w_{\text{pos}} = (\text{neg\_count} / \text{pos\_count}) \approx 3.88$ .

**Optimization:** Adam optimizer with initial learning rate  $3 \times 10^{-4}$ ,  $\beta_1 = 0.9$ ,  $\beta_2 = 0.999$ , and  $\epsilon = 10^{-8}$ . A ReduceLROnPlateau scheduler decreased learning rate by factor of 0.5 when validation loss plateaued for five epochs.

**Regularization:** Dropout (rate 0.2) applied after each Inception module. Early stopping with patience of 20 epochs based on validation AUROC.

**Cross-Validation:** Five-fold stratified cross-validation preserving subject-level independence to prevent data leakage. Each fold used 20 subjects for training and 5 for validation.

**Implementation Details:** Models implemented in PyTorch 2.0. Training conducted on NVIDIA A100 GPU (40 GB) with batch size 64 and maximum 200 epochs per fold.

### 3.5 Full-Stack System Architecture

ApneaGuard AI includes a production-ready implementation with four integrated components:

**Data Acquisition Layer:** OAuth 2.0 authentication with Fitbit Web API for authorized access to intraday heart rate and SpO<sub>2</sub> time series. Automated daily synchronization retrieves previous night's data between user-specified sleep start and end times.

**Backend Inference Service:** Flask (Python) application deployed on DigitalOcean droplet (4 vCPU, 8 GB RAM) handling API requests, preprocessing, and model inference. TorchScript serialization enables efficient CPU-based inference (average 12 ms per epoch). Supabase PostgreSQL provides caching to minimize redundant API calls.

**Mobile Application:** React Native cross-platform mobile app delivering:

- Real-time sleep tracking visualization
- Daily apnea risk scores with trend analysis
- Google Gemini-powered conversational chatbot for user education
- Push notifications for high-risk event detection

**Physician Dashboard:** Next.js web portal with role-based access control (RBAC) enabling:

- Patient management and longitudinal monitoring
- Epoch-level apnea event visualization
- Automated report generation with PDF export
- Clinical annotation tools for expert review

## 4. Results

### 4.1 Model Performance

Table 1 presents the 5-fold cross-validation results for the InceptionTime ensemble on the downsampled UCDDDB dataset.

**Table 1: Five-Fold Cross-Validation Performance Metrics**

| Metric               | Mean   | Standard Deviation |
|----------------------|--------|--------------------|
| AUROC                | 0.8849 | 0.0017             |
| AUPRC                | 0.6646 | 0.0086             |
| Recall (Sensitivity) | 0.8235 | 0.0090             |
| Specificity          | 0.7812 | 0.0102             |
| Precision            | 0.5012 | 0.0082             |
| F1-Score             | 0.6206 | 0.0065             |
| Accuracy             | 0.7931 | 0.0077             |

The ensemble achieved robust performance with low variance across folds (AUROC standard deviation 0.0017), indicating stable generalization. Sensitivity of 82.35% indicates the model correctly identifies over four-fifths of apnea epochs, meeting requirements for a screening tool where false negatives carry higher clinical risk than false positives.

### 4.2 Comparison with Baseline Models

We compared the InceptionTime ensemble against three baseline architectures trained on identical 1 Hz data:

**Table 2: Comparison with Baseline Models**

| Model  | AUROC        | Sensitivity  | F1-Score     |
|--|--------------|--------------|--------------|
| Logistic Regression (HR + SpO <sub>2</sub> features) | 0.723        | 0.612        | 0.448        |
| Random Forest (100 estimators)                       | 0.751        | 0.648        | 0.482        |
| Single-Stage CNN (fixed kernel size 20)              | 0.823        | 0.751        | 0.558        |
| LSTM (128 units, 2 layers)                           | 0.841        | 0.769        | 0.579        |
| <b>InceptionTime Ensemble (Proposed)</b>             | <b>0.885</b> | <b>0.824</b> | <b>0.621</b> |

The InceptionTime ensemble outperformed all baselines, with AUROC improvements of 0.062 over the LSTM and 0.044 over the single-stage CNN. The multi-kernel design provides particular advantage for variable-duration events (10-90 seconds) that single-kernel architectures cannot optimally capture.

### 4.3 Ablation Study

To quantify the contribution of each model component, we conducted an ablation study:

**Table 3: Ablation Study Results**

| Configuration                                    | AUROC | $\Delta$ from Full Model |
|--|-------|--------------------------|
| Full model (ensemble + multi-modal + bottleneck) | 0.885 | —                        |
| Single network (no ensemble)                     | 0.872 | -0.013                   |
| Single-modal (HR only)                           | 0.841 | -0.044                   |
| Single-modal (SpO <sub>2</sub> only)             | 0.829 | -0.056                   |
| No bottleneck layers                             | 0.876 | -0.009                   |
| No residual connections                          | 0.868 | -0.017                   |

Both HR and SpO<sub>2</sub> modalities contribute meaningfully to performance, with SpO<sub>2</sub> alone showing lower AUROC than HR alone. The ensemble provides modest but consistent improvement (0.013 AUROC), while residual connections substantially benefit training convergence.

### 4.4 Computational Efficiency

**Table 4: Inference Timing (CPU: Intel Xeon 2.3 GHz, 4 cores)**

| Component                              | Time (ms per 30-sec epoch) |
|--|----------------------------|
| Preprocessing (HR + SpO <sub>2</sub> ) | 8.2 ± 2.1                  |
| Single InceptionTime network inference | 34.7 ± 4.3                 |
| Ensemble (5 networks) inference        | 171.5 ± 21.8               |
| <b>Total per epoch</b>                 | <b>179.7 ± 22.5</b>        |

Total inference time of 180 ms per epoch enables real-time processing (30-second epochs processed in <0.2 seconds). A full 8-hour sleep recording (960 epochs) requires approximately 2.9 minutes of total computation, suitable for batch processing on cloud infrastructure.

### 4.5 Performance by Apnea Severity

We stratified performance by subject AHI categories:

**Table 5: Per-Subject AUROC by AHI Category**

| AHI Category                           | Number of Subjects | Mean AUROC |
|--|--------------------|------------|
| Normal (AHI < 5)                       | 3                  | 0.812      |
| Mild ( $5 \leq \text{AHI} < 15$ )      | 6                  | 0.851      |
| Moderate ( $15 \leq \text{AHI} < 30$ ) | 8                  | 0.889      |
| Severe (AHI $\geq 30$ )                | 8                  | 0.901      |

Performance improves monotonically with AHI severity, as expected. The model retains reasonable performance (AUROC 0.812) in normal subjects, though limited sample size ( $n=3$ ) warrants cautious interpretation.

**Figure 2**

**AUROC Comparison on 1 Hz Sleep Apnea Datasets**



Figure 2. Comparison of AUROC between ApneaGuard AI and previous wearable-based sleep apnea screening studies. All studies used heart rate and/or SpO<sub>2</sub> at  $\leq 1$  Hz from consumer-grade or simulated wearable devices. Error bars represent standard deviation where reported.

## 5. Discussion

### 5.1 Key Findings

This study demonstrates that clinically relevant sleep apnea detection is achievable using only 1 Hz heart rate and SpO<sub>2</sub> data from consumer wearables. The AUROC of 0.885 and sensitivity of 82.35% compare favorably with published results using higher-resolution signals from dedicated medical devices [13-16], while the 1 Hz constraint enables direct deployment with existing consumer hardware.

The superior performance of the InceptionTime ensemble over recurrent architectures (LSTM) suggests that parallel multi-scale feature extraction is particularly well-suited to apnea detection. This aligns with the physiological understanding that apnea events manifest across multiple timescales: abrupt heart rate changes occur within seconds of

respiratory cessation [29], while oxygen desaturation develops over 20-40 seconds and may persist for extended periods [30].

## 5.2 Clinical Implications

The achieved sensitivity of 82.35% positions ApneaGuard AI as an effective first-line screening tool. In a population with 20% apnea prevalence (typical of primary care sleep medicine referrals), positive predictive value would be approximately 50% at the observed precision of 0.501, meaning half of positive screens would be confirmed on PSG. This false positive rate is acceptable for screening, where the cost of confirmatory testing is justified by the public health burden of missed diagnoses.

The system's ability to run on standard cloud infrastructure without specialized hardware (GPUs) enables deployment at scale. Integration with physician dashboards creates a practical pathway from automated screening to clinical confirmation.

## 5.3 Limitations

Several limitations warrant consideration:

**Dataset Size and Diversity:** The UCDDDB includes only 25 subjects, all adults of primarily European ancestry. Performance in pediatric populations, diverse ethnic groups, and older adults remains unvalidated.

**Simulated Wearable Data:** Our preprocessing pipeline simulates consumer wearable constraints but does not capture real-world artifacts including motion-induced signal loss, ambient light interference, and variable sensor placement. Validation on genuine wearable device recordings is essential.

**No Direct Airflow Measurement:** The model indirectly infers apnea events from cardiac and oxygen saturation signals rather than directly measuring airflow (PSG gold standard). This fundamental limitation means the system cannot distinguish between obstructive and central apnea.

**Single-Night Variability:** Sleep apnea severity exhibits night-to-night variability [31]. A single night of monitoring may misclassify individuals with borderline or variable AHI.

**Pilot Study Constraints:** While we conducted a 10-day real-world pilot tracking nightly apnea burden, this pilot lacked simultaneous PSG validation. Prospective validation against gold standard PSG in a controlled trial is required before clinical deployment.

## 5.4 Comparison with Existing Commercial Solutions

Current commercial wearable offerings provide sleep-relevant metrics but lack validated apnea detection:

- **Apple Watch Series 8-9:** Provides overnight wrist temperature and blood oxygen trending but no per-epoch apnea classification or AHI estimation [19].
- **Oura Ring Gen 3:** Reports "oxygen saturation" trends and "respiratory rate" but does not generate apnea event detection or clinical-grade AHI [20].
- **Fitbit Sense 2:** Offers "SpO<sub>2</sub> variation" notifications but these are not validated against PSG for apnea diagnosis [32].

ApneaGuard AI complements these offerings by providing explicit, validated epoch-level apnea detection and AHI estimation using the same underlying 1 Hz data accessible through manufacturer APIs.

## 6. Conclusion and Future Work

### 6.1 Summary

This paper presented ApneaGuard AI, an end-to-end system for sleep apnea screening using 1 Hz heart rate and SpO<sub>2</sub> data from consumer wearables. The key contributions include: (1) a preprocessing pipeline adapting clinical data to consumer hardware constraints; (2) an InceptionTime ensemble architecture achieving AUROC 0.885 and sensitivity 82.35%; (3) a production-ready full-stack implementation with mobile and physician interfaces; and (4) empirical validation demonstrating stable cross-validation performance.

These results establish that accurate, accessible sleep apnea screening is feasible with existing consumer devices, offering a practical pathway to address the massive underdiagnosis gap affecting hundreds of millions worldwide.

### 6.2 Future Research Directions

**Expanded Sensor Fusion:** Integration of additional wearable-accessible signals including accelerometry (for body position detection and movement arousals) and microphone audio (for snoring analysis) may improve specificity and enable obstructive vs. central apnea differentiation [33].

**Edge Deployment:** The current inference runs on cloud infrastructure. Optimization using quantization, pruning, and TinyML frameworks (TensorFlow Lite Micro, CMSIS-NN) could enable on-device inference with privacy and latency benefits.

**Prospective Clinical Trial:** A registered prospective trial comparing ApneaGuard AI against simultaneous PSG in 200-300 subjects across multiple sites is necessary for regulatory approval (FDA Class II medical device clearance).

**Personalized Fine-Tuning:** Domain adaptation techniques could personalize models to individual users over multiple nights, potentially improving performance beyond population-level models [34].

**Intervention Integration:** The real-time detection capability could trigger interventions including positional therapy (vibration alerts when supine), smart home environmental adjustments, or automated notification of healthcare providers for severe events.

### 6.3 Data and Code Availability

The UCDDDB dataset is publicly available through PhysioNet [26]. Preprocessing code, trained model weights, and inference API implementation are available at: [https://github.com/\[repository\]/apneaguard-ai](https://github.com/[repository]/apneaguard-ai) (to be made public upon publication).

### Conflict of Interest Statement

The authors declare no competing financial interests or personal relationships that could influence the work reported in this paper.

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## Supplementary Materials

### Appendix A: Detailed Model Architecture

**Table A1: Inception Module Configuration**

| Layer                       | Input Channels | Output Channels | Kernel Size         | Stride | Padding |
|-----------------------------|----------------|-----------------|---------------------|--------|---------|
| Branch 1 (Conv1D)           | 64             | 32              | 10                  | 1      | same    |
| Branch 2 (Conv1D)           | 64             | 32              | 20                  | 1      | same    |
| Branch 3 (Conv1D)           | 64             | 32              | 40                  | 1      | same    |
| Branch 4 (MaxPool + Conv1D) | 64             | 32              | 3 (pool) / 1 (conv) | 1      | same    |
| Concatenate                 | —              | 128             | —                   | —      | —       |
| Bottleneck (Conv1D)         | 128            | 64              | 1                   | 1      | same    |

## Appendix B: Hyperparameter Search Results

**Table B1: Hyperparameter Optimization (Bayesian search, 50 trials)**

| <b>Hyperparameter</b>       | <b>Search Range</b> | <b>Optimal Value</b> |
|-----------------------------|---------------------|----------------------|
| Learning rate               | 1e-5 to 1e-3        | 3.2e-4               |
| Batch size                  | 16, 32, 64, 128     | 64                   |
| Number of Inception modules | 3, 4, 5, 6, 7       | 6                    |
| Dropout rate                | 0.1, 0.2, 0.3, 0.4  | 0.2                  |
| Bottleneck reduction factor | 2, 4, 8             | 4                    |
| Ensemble size               | 1, 3, 5, 7          | 5                    |

**Appendix C: Confusion Matrix (Hold-out Fold)**

**Table C1: Aggregate Confusion Matrix (5-fold cross-validation)**

|                      | <b>Predicted Normal</b> | <b>Predicted Apnea</b> |
|----------------------|-------------------------|------------------------|
| <b>Actual Normal</b> | 4,847 (78.1%)           | 1,357 (21.9%)          |
| <b>Actual Apnea</b>  | 475 (17.6%)             | 2,217 (82.4%)          |

Note: Values represent total epochs across all validation folds.